6 9/23/02 PATENT 83

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE (Case No. MBHB00,883-K 900.023)

In the Application of Beigelman et al.) Examiner: TBA
Serial No.: TO BE ASSIGNED)) Crown Art Unit: TDA
Filed: FILED HEREWITH) Group Art Unit: TBA
For: Novel Compositions for the Delivery of Negatively Charged Molecules)))

10/036916 10/036916 12//21/01

Commissioner for Patents Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Pursuant to the duty of disclosure provided by 35 C.F.R. §1.56 and §§1.97-98, the applicants wish to make the following references of record in the above-identified application. This application is a continuation of US Serial No. 09/120,520 filed July 21, 1998 and is relied upon for an earlier filing date under 35 U.S.C. § 120. In accordance with Rule 37 CFR §1.98(d), all references cited were previously submitted to the Patent and Trademark Office with the prior application USSN 09/048,825 and accordingly no copies of documents are enclosed. All references cited are also listed in the PTO-1449 form enclosed herewith. It is requested that each document cited (including any cited in applicant's specification which is not repeated on the attached Form PTO-1449) be given thorough consideration and that it be cited of record in the prosecution history of the present application by initialing on Form PTO-1449. Such initialing is

requested even if the Examiner does not consider a cited document to be sufficiently pertinent to use in a rejection, or otherwise does not consider it to be prior art for any reason, or even if the Examiner does not believe that the guidelines for citation have been fully complied with. This is requested so that each document becomes listed on the face of the patent issuing on the present application.

Portions of the references may be material to the examination of the pending claims, however no such admission is intended. 37 C.F.R. 1.97 (h). The references have not been reviewed in sufficient detail to make any other representation and, in particular, no representation is intended as to the relative importance of any portion of the references. This Statement is not a representation that the cited references have effective dates early enough to be "prior art" within the meaning of 35 U.S.C. sections 102 or 103.

CITED REFERENCES

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4,897,355	Epstein et al.
5,208,036	Epstein et al.
5,279,833	Rose
5,283,185	Epand et al.
5,334,761	Gebeyehu et al.
5,527,928	Nantz et al.
5,552,155	Bailey et al.
5,578,475	Hessee
5,676,954	Brigham
5,705,693	DePrince et al.
5,777,153	Lin et al.

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Document Number	Date	Country
W0 91/18012	11/28/91	PCT
WO 91/18624	12/12/91	PCT
WO 91/18625	12/12/91	PCT
WO 92/00080	01/09/92	PCT

OTHER DOCUMENTS

Author, Title, Date, Pertinent Pages, etc.

Akhtar and Juliano, 1992, "Cellular Uptake and Intracellular Fate of AntiSense Oligonucleotides," *Trends Cell Biol.* 2:139-144

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Structure of the Complex and DNA Delivery to Cells," Proc. Natl. Acad. Sci. USA, 88:4255 (Abstract)

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Zenke et al., 1990, "Receptor-mediated Endocytosis of Transferrin-Polycation Conjugates: An Efficient Way to Introduce DNA into Hematopoietic Cells," *Proc. Natl. Acad. Sci. USA*, 87:3655 (Abstract)

International Search Report, PCT/US98/15129, mailed October 29, 1998

Respectfully submitted,

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By:

sy:

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FORM PTO-1449 (Rev. 2-32)

U.S. Department of Commerce Patent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT --SUPPLEMENTAL--

(Use several sheets if necessary)

Atty. Docket No.	Serial No.
МВНВ00,883-К 900.023	TBA ==
Applicant:	La Se T
Beigelman et al.	0. S. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3.
Filing Date:	Group: 60
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U.S. PATENT DOCUMENTS

Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Dat if Appropriat
	*	4,897,355	·	Epstein et al.			
	*	5,208,036		Epstein et al.			
	*	5,279,833		Rose			
	*	5,283,185		Epand et al.			
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	*	Kaye <i>et al.</i> , (1988), Mol, Cell. Biol., 8(1):186-195			
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	*	Semba et al., 1985, Proc. Natl. Acad. Sci. USA., 82:6497-6501			
,	* Stewart et al., 1992, Human Gene Therapy, 3, 267-275				
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	* Wu et al., 1991, "Receptor-Mediated Gene Delivery In Vivo. Partial Correction of Genetic Analbuminemia in Nagase Rats," J. Biol. Chem., 266:14338 (Abstract)				
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	*	International Search Report, PCT/US98/15129, mailed October 29, 1998			
EXAMINER		DATE CONSIDERED			

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.

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